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ATTORNEY'S DOCKET NO: C1039/7044 (AWS)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hartmann et al.  
Serial No: US 09/672,126  
Filed: 27 September 2000  
For: METHODS RELATED TO IMMUNOSTIMULATORY NUCLEIC ACID-  
INDUCED INTERFERON  
Examiner: Not assigned  
Art Unit: 1646

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 22nd day of December, 2000.

  
Monica Zombori

BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith are the following documents:

- ☒ [X] Copy of Notice to File Missing Parts
- ☒ [X] Preliminary Amendment
- ☒ [X] Fee Calculation Sheet
- ☒ [X] Declaration for Patent Application - (3)
- ☒ [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No check is enclosed. The filing fee of \$1,910.00 may be charged to Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

By:   
Alan W. Steele, Reg. No. 45,128  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Telephone (617) 720-3500

Docket No. C1039/7044 (AWS)  
Dated: December 22, 2000

Inventor(s): Hartmann et al.

Serial No: 09/672,126

Filed: September 27, 2000

CHECK BOX, if applicable:

For: METHODS RELATED TO IMMUNOSTIMULATORY NUCLEIC ACID-INDUCED INTERFERON

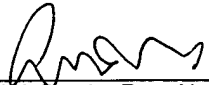
☐ **DUPLICATE****Fee Calculation Sheet**

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	35-20=	15x	\$ 18	= \$ 270.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	13-3=	10 x	\$ 80	= \$ 800.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)				\$
	Total of above Calculations =				\$ 1,780.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 1,910.00

1. Please charge the amount of \$1,910.00 to Deposit Account 23/2825.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
 Alan W. Steele, Reg. No. 45,128  
 Wolf, Greenfield & Sacks, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210-2211  
 (617) 720-3500  
 Attorneys of Record

Docket No. C1039/7044  
 Date: December 22, 2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/672,126	09/27/2000	Gunther Hartmann	C1039/7044 (AWS)

## FORMALITIES LETTER



\*OC000000005568943\*

Alan W Steele  
Wolf Greenfield & Sacks PC  
600 Atlantic Avenue  
Boston, MA 02210

Date Mailed: 11/20/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$4074.
  - \$3294 for 183 total claims over 20.
  - \$780 for 10 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4894.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09672126

00000078 232825

710.00 CH  
800.00 CH  
270.00 CH  
130.00 CH

01/02/2001 JADD01

01 FC:101  
02 FC:102  
03 FC:103  
04 FC:105

00-11-20